



Risk Assessment

Fiscal Years 2006-07 and 2007-08

Third Party Administrators and Audit Coverage

| Contractor Name | Description | Audit Coverage | Fiduciary Contract Language | Audit Access Clause |
|--|---|-----------------------|------------------------------------|----------------------------|
| Office of Health Policy and Program Support | | | | |
| The MEDSTAT Group, Inc. | Health Care Decision Support System | | | |
| Investment Office | | | | |
| Acadian Asset Management | International Equity Enhanced Management Pool | | | |
| Alliance Capital Management, LP | Domestic Equity Pool | | | |
| Alliance Capital Management, LP | Emerging Markets Equity Manager | | | |
| Alliance Capital Management, LP | International All World Active Pool | | | |
| AQR Capital Management, LLC | International Equity Enhances Index-Pool | | | |
| Arrowstreet Capital, LP | Manager Development Program | | | |
| Artisan Partners Limited Partnership | International Investment Management | | | |
| Atlantic Asset Management, LLC | U.S. Equity Enhanced Indexing - Pool | | | |
| AXA Rosenberg Investment Management, LLC | International Investment Manager | | | |
| AXA Rosenberg Investment Management, LLC | Environmental Equity Manager | | | |
| Baillie Gifford Overseas Limited | International Investment Management | | | |
| Bank of America NA | Real Estate Asset Management | SAS 70 | | |
| The Bank of New York | Credit Enhancement Program | SAS 70 | | |
| Barclays Global Investors, NA | International Enhanced Index - Pool | | | |
| Barclays Global Investors, NA | US Equity Enhanced Index Pool | | | |
| Baring International Investment, Limited | International Fixed Income | | | |
| Baring International Investment, Limited | International Enhanced Index-Pool | | | |



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| Blomquist & Company LLC | Alternative Investment Management - Pool | | | |
| The Boston Company | International All World Active-Pool | | | |
| The Boston Company Asset Mgmt., LLC | Domestic Active Equity Management | | | |
| Brandywine Asset Management, LLC | Environmental Equity Manager | | | |
| Bridgewater Associates | International Fixed Income | | | |
| Brinson Partners, Inc. | Domestic Active Equity Management | | | |
| Capital Guardian Trust Company | International Investment Manager | | | |
| CitiMortgage, Inc. | Member Home Loan Manager | Internal Audits and SAS 70 | | |
| Credit Suisse First Boston, New York Branch | Securities Lending Agent | SAS 70 | | |
| Dimensional Fund Advisors, Inc. | Emerging Markets Equity Manager | | | |
| Enhanced Investment Technologies, LLC | U.S. Equity Enhanced Indexing - Pool | | | |
| FAN Asset Management, LLC | Internal Domestic Passive Equity Portfolio | | | |
| Franklin Advisors, Inc. | Domestic Equity Growth Management | | | |
| Franklin Portfolio Associates | U.S. Equity Enhanced Indexing - Pool | | | |
| Geewax, Terker & Company | Domestic Active Equity Management | | | |
| Genesis Asset Managers, Ltd. | Emerging Markets Equity Manager | | | |
| Goldman Sachs Asset Management, LP | U.S. Equity Enhanced Indexing - Pool | | | |
| Grantham, Mayo, Van Otterloo & Co., LLC | International Investment Management | | | |



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| Hamilton Lane Advisors, LLC | Alternative Investment Management - Pool | Internal Audits | | |
| Highland Capital Management, LP | Domestic Corporate Securities Manager | | | |
| ING Ghent Asset Management, LLC | Domestic Corporate Securities Manager | | | |
| J.P. Morgan Investment Management Inc. | Domestic Equity - Pool | | | |
| J.P. Morgan Investment Management Inc. | International Enhanced Index-Pool | | | |
| Julius Baer Investment Management Inc. | International Fixed Income Manager | | | |
| KPC Consulting Group, LLC | Alternative Investment Management - Pool | | | |
| KPMG, LLP | Alternative Investment Management - Pool | | | |
| Metropolitan West Securities, Inc. | Securities Lending Agent | SAS 70 | | |
| New Amsterdam Partners, LLC | Environmental Equity Manager | | | |
| New Star Institutional Managers, Ltd. | International All-World Active Pool | | | |
| Nomura Asset Management U.S.A., Inc. | Non-U.S. Equity Manager | | | |
| Nomura Corp. Research & Asset Mgmt. Inc. | Domestic Corporate Securities Manager | | | |
| Oak Associates, Ltd. | Domestic Active Equity Management | | | |
| Oppenheimer Capital | Domestic Active Equity Management | | | |
| Osprey Partners Investment Mgmt, LLC | Domestic Equity - Pool | | | |
| Pacific Alternative Asset Management Company | Joint AIM/Global Equity Hedge Program Advisor | | | |
| Pacific Corporate Finance, LLC | Alternative Investment Management - Pool | | | |



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| Pacific Investment Management Company, LLC | Domestic Corporate Securities Manager | | | |
| Pacific Investment Management Company, LLC | U.S. Equity Enhanced Indexing - Pool | | | |
| PanAgora Asset Management | International All World Active Pool | | | |
| Pareto Partners | Currency Overlay Management | | | |
| Pension Consulting Alliance, Inc. | General Pension Consultant Spring-Fed Pool | | | |
| Probitas Portfolio Management, LLC | Alternative Investment Management - Pool | | | |
| Pzena Investment Management, LLC | Domestic Equity - Pool | | | |
| Quantitative Management Associates, LLC | U.S. Equity Enhanced Indexing - Pool | | | |
| Quantitative Management Associates, LLC | International Equity Enhanced Index Pool | | | |
| Rogge Global Partners | International Fixed Income | | | |
| Sextant Search Partners, LLC | Alternative Investment Management - Pool | | | |
| Shenkman Capital Management, Inc. | Domestic Corporate Securities Manager | | | |
| Smith Breeden Associates, Inc. | U.S. Equity Enhanced Indexing - Pool | | | |
| State Street Bank and Trust Company | Alternative Investment Management - Pool | | | |
| State Street Bank and Trust Company | Master Custodian | Internal Audits and SAS 70 | | |
| State Street Bank and Trust Company | Securities Lending Agent | SAS 70 | | |
| State Street Bank and Trust Company | Currency Overlay Management | | | |



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| State Street Global Advisors | Environmental Equity Manager | | | |
| State Street Global Advisors | Passive International Equity Manager | | | |
| State Street Global Advisors | U.S. Equity Enhanced Indexing - Pool | | | |
| T. Rowe Price Associates, Inc. | US Equity Enhanced Index Pool | | | |
| Thomas Weisel Partners LLC | Alternative Investment Management - Pool | | | |
| UBS O'Connor LLC | A Joint Aim/Global Equity Hedge Prg Adv | | | |
| Robeco USA, LLC | Non-U.S. Core Equity Management | | | |
| Wellington Management Company, LLP | International Fixed Income | | | |
| Western Asset Global Management Company, Ltd. | Domestic Equity | | | |
| Western Asset Management Company | U.S. Equity Enhanced Indexing - Pool | | | |
| Wilshire Associates, Inc. | Provide Primary Pension Consultant Service | | | |
| Office of Health Plan Administration | | | | |
| Blue Cross of California | Self-Funded Health Plan Administrator | Internal Audits and SAS 70 | | |
| Blue Shield of California | Health Plan | Internal Audits | | |
| Blue Shield of California | Drug Reporting Agreement | CMS Audit | | |
| California Association of Highway Patrolmen Health Benefits Trust | Association Plan | Internal Audits | | |



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| California Association of Highway Patrolmen Health Benefits Trust | Dental Plan - Annuitants | | | |
| Caremark, Inc. | Pharmacy Benefit Administrator | Internal Audits and SAS 70 | | |
| California Correctional and Peace Officers Association Benefit Trust Fund | Association Plan | Internal Audits | | |
| Kaiser Foundation Health Plan, Inc., Northern & Southern California Regions | Health Plan | Internal Audits | | |
| Kaiser Foundation Health Plan, Inc., Northern & Southern California Regions | Drug Reporting Agreement | CMS Audit | | |
| Long Term Care Group Inc. | Third Party Administrator | Internal Audits and SAS 70 | | |
| Peace Officers Research Association of California Insurance and Benefit Trust | Association Plan | Internal Audits | | |
| Western Health Advantage | Health Plan | Internal Audits | | |
| Western Health Advantage | Drug Reporting Agreement | CMS Audit | | |
| Operations Support Services Division | | | | |
| Colliers International | Lincoln Plaza Property Manager | Internal Audits | | |
| Supplemental Savings Program Division | | | | |
| Department of Personnel Administration | Deferred compensation - Savings Plus Program | Financial Audits (External) | | |
| CitiStreet LLC | Defined Contribution/Deferred Compensation Plan | SAS 70 | | |